



*Sector*

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Redmond, et al.

Serial No.: 09/457,104

Filed: December 7, 1999

Atty. File No.: 4154-9

For: LOW PROFILE OPTICAL HEAD

Assistant Commissioner For Patents  
Box Missing Parts  
Washington, D.C. 20231

) Group Art Unit: 2753

) Examiner:

) SUBMISSION OF MISSING  
) PARTS

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
BEING DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, DC 20231 ON March 22, 2000.

SHERIDAN ROSS P.C.

BY: *Maria C. Lenz*

Dear Sir:

In response to the Notice to File Missing Parts dated January 25, 2000, in connection with the above-identified application, enclosed herewith please find a Correction of Inventorship, Declaration, Verified Statement Claiming Small Entity Status, Power of Attorney, and Certificate Under 37 CFR 3.73(b). Please note that the Assignment documents have been submitted to the Assignment Branch under separate cover on this same date.

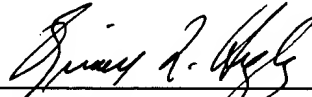
Also enclosed please find a check for \$1016 which includes payment of the filing fee in the amount of \$345, \$450 for 50 additional claims and \$156 for additional independent claims reflecting small entity status, and the amount of \$65.00 payment of the surcharge. Please credit any overpayment or debit any underpayment to Deposit Account No. 19-1970. A copy of the Notice to File Missing Parts is also enclosed.

Please address all future correspondence to the undersigned attorney at the address below.

Respectfully submitted,

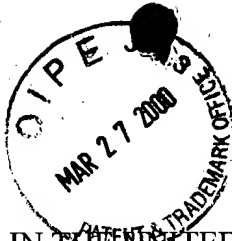
SHERIDAN ROSS P.C.



By: 

Richard L. Hughes  
Registration No. 31,264  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: March 22 2000  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Redmond, et al.

Serial No.: 09/457,104

Filed: December 7, 1999

Atty. File No.: 4154-9

For: LOW PROFILE OPTICAL HEAD

) Group Art Unit: 2753

) Examiner:

) CORRECTION OF INVENTORSHIP  
) (37 CFR 1.48(f)(1))

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO THE ASSISTANT COMMISSIONER OF PATENTS, WASHINGTON, DC 20231 ON March 22, 2000.

SHERIDAN ROSS P.C.

BY: Marian C. Swygard

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The above-identified application was filed without an oath or declaration, but naming five inventors. An executed Declaration is being filed herewith in response to the Notice to File Missing Parts (mailed January 25, 2000), executed by five inventors, three of whom are different from those originally named. This submission of the executed oath is being provided as a means for correcting the earlier identification of inventorship, in accordance with 37 CFR 1.48(f)(1).

Applicants respectfully request that the inventors should be listed in the following order:  
Redmond, Bell, Blankenbeckler, Braitberg, and Freeman.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: Richard L. Hughes

Richard L. Hughes  
Registration No. 31,264  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: March 22, 2000  
M:\4154\9\COR-correction inventorship.wpd



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/457,104 12/07/99 FREEMAN : B 4154-9

0242/0125

RICHARD L. HUGHES  
SHERIDAN ROSS P C  
1560 BROADWAY SUITE 1200  
DENVER CO 80202-5141

NOT ASSIGNED

2753

DATE MAILED:

01/25/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 2102.00.

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 7100.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 400.00 for \_\_\_\_\_ total claims over 20.  
\$ 212.00 for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

[Signature]  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

03/29/2000 NBERHE 00000017 191970 09457104  
01 FC:205 65.00 OP  
02 FC:203 450.00 OP  
03 FC:202 156.00 OP  
04 FC:201 345.00 OP  
35.00 CH